

# 5/4 KWS Patent 6-25-03

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Application of:                          |           |            | RECEIVED                              |
|--|-----------|------------|---------------------------------------|
| Glenn Reid )                                   |           |            | JUN 2 4 2003                          |
| )<br>Application No: 09/680,105                |           |            | Technology Center 2100                |
| Filing Date: October 4, 2000                   | Examiner: | Chuong, T. |                                       |
| For: UNIFIED CAPTURE ) AND PROCESS INTERFACE ) | Art Unit: | 2174       | • • • • • • • • • • • • • • • • • • • |

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

## **AMENDMENT AND RESPONSE TO OFFICE ACTION**

In response to the Office Action mailed March 31, 2003, reconsideration of the present application is respectfully requested.

| FIRST CLASS CERTIFICATE OF MAILING   |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on |
| Name of Person Mailing Correspondence    Name of Person Mailing Correspondence   1 2003  |
| Signature  |

Serial No.: 09/680,105

Docket No.: 004860.P2471

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|---|----------------------|--|---|---------------------------------------|----------|
| TRANSMITTAL FO  | )RM                  | Application No.                                | 09/680,105                                    |                                       |          |
| (to be used for all correspondence after initial filing)  |                      | Filing Date                                    | October 4, 2000                               | RECEIV                                | ED       |
|   |                      | First Named Inventor                           | Glenn Reid                                    | JUN 2 4 2                             | ,<br>101 |
|   |                      | Group Art Unit                                 | 2174  |                                       |          |
|   |                      | Examiner Name                                  | Truc T. Chuong                                | Technology Cent                       | iter 2   |
| Total Number of Pages in This Submission  | on 23                | Attorney Docket Number                         | 4860P2471                                     |                                       |          |
| ENCLOS  | SURES (chec          | k all that apply)                              |   |                                       |          |
| Fee Transmittal Form  | Drawing(s            | )  | After Allowance to Group                      | e Communication                       |          |
| Fee Attached  | Licensing-           | related Papers                                 | Appeal Comm of Appeals and                    | unication to Board<br>d Interferences | •        |
| Amendment / Response  |                      |  | unication to Group<br>e, Brief, Reply Brief)  | •                                     |          |
| After Final Affidavits/declaration(s)   | _                    | Convert a<br>I Application                     | Proprietary Inf                               | ormation                              |          |
| Extension of Time Request   |                      | Attorney, Revocation<br>Correspondence Address | Status Letter  Other Enclosur                 | re(s)                                 |          |
| Express Abandonment Request   | Disclaimer           | (please identity                               |   |                                       |          |
| Information Disclosure Statement  | Request fo           | or Retuna                                      | Return Receip                                 | ot Postcard                           |          |
| PTO/SB/08   | CD, Numb             | er of CD(s)                                    |   |                                       |          |
| Certified Copy of Priority Document(s)  |                      | · ,  | ,   |                                       |          |
| Response to Missing Parts/<br>Incomplete Application  | Remarks              |  | <u></u>                                       |                                       |          |
| Basic Filing Fee  |                      |  |   | 1                                     |          |
| Declaration/POA   |                      |  |   |                                       |          |
| Response to Missing Parts under 37 CFR  |                      |  |   |                                       |          |
| 1.52 or 1.53  | OF ARRIAGA           | NT, ATTORNEY, OR A                             | SENT  |                                       |          |
|   | <u> </u>             |  |   |                                       |          |
| Firm J. Scott Heileso or  | , ,                  |  |   |                                       |          |
| Individual name BLAKELY, S  | OKOLOFF,             | TAYLOR & ZAFM                                  | AN LLP  |                                       |          |
| Signature   |                      | 人,   |   |                                       |          |
| Date  | 6/17/                | 103  |   |                                       |          |
| OFFITTE OF THE PARTY OF THE PAR    | <del>- / · / /</del> | LING/TRANSMISSION                              | <del></del>                                   |                                       |          |
| hereby certify that this correspondence is be<br>sufficient postage as first class mail in an enverge and the sufficient postage as first class mail in an enverge and the sufficient postage as first class mail in an enverge and the sufficient postage are sufficient to the sufficient postage as first class mail in an enverge and the sufficient postage are sufficient to the sufficient postage as first class mail in an enverge and the sufficient postage are sufficient postage as first class mail in an enverge and the sufficient postage are sufficient postage as first class mail in an enverge are sufficient postage as first class mail in an enverge are sufficient postage as first class mail in an enverge are sufficient postage as first class mail in an enverge are sufficient postage as first class mail in an enverge are sufficient postage as first class mail in an enverge are sufficient postage as first class mail in an enverge are sufficient postage as first class mail in an enverge are sufficient postage are sufficient post | eing deposited w     | th the United States Postal                    | Service on the date shorts, P.O. Box 1450, Al | own below with exandria, VA           |          |
| Typed or printed name   Elaine Çalin  | ıquim ——             | 7  |   |                                       |          |
|   | <del>( )</del>       |  | Date /  | 17/22                                 |          |

| 101     | PE       |
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| JUN 2 0 | 2003 (0) |
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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

| 0.00 |
|------|
|------|

| Complete if Known    |                 |                    |  |  |  |
|----------------------|-----------------|--------------------|--|--|--|
| Application Number   | 09/680,105      | DEC                |  |  |  |
| Filing Date          | October 4, 2000 | RECEIVED           |  |  |  |
| First Named Inventor | Glenn Reid      |                    |  |  |  |
| Examiner Name        | Truc T. Chuong  | JUN Z 4 2003       |  |  |  |
| Group/Art Unit       | 2174            | *                  |  |  |  |
| Attorney Docket No.  | 4860P2471 To    | achnology Contar o |  |  |  |

(408) 720-8300

Date

| TOTAL AMO                   | JNT OF PAYMENT (\$) 0.0  | <u></u>      | ttorney        |              | t No.       | 4860P2471 Techno  | logy Center 2 |
|-----------------------------|--|--------------|----------------|--------------|-------------|---|---------------|
| METH                        | DD OF PAYMENT (check one)  |              |                |              | FE          | E CALCULATION (continued)   |               |
| Check Cre                   | dit card Money Other None  |              | DDITIO         |              |             | ·   | . ,           |
| Deposit Account             | ļ.   |              | Entity         | <b>├</b> ──  | II Entity   | <u>′</u>  | •             |
| Deposit<br>Account          | 02-2666  | Code         | Fee<br>(\$)    | Code         | Fee<br>(\$) | Fee Description   | Fee Paid      |
| Deposit Account Plake       | ly, Sokoloff, Taylor & Zafman LLP  | 1051<br>1052 | 130<br>50      | 2051<br>2052 | 65<br>25    | Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet. |               |
| Name Diake                  | ly, Sokololi, Taylol & Zalillali ELF   | 2053         | 130            | 2053         | 130         | Non-English specification   | -             |
| Commissioner is a           | thorized to: ( check all that apply)   | 1812         | 2,520          | 1812         | 2,520       | For filing a request for ex parte reexamination   |               |
| Charge fee(s) indica        | ed below Credit any overpayments   | 1804         | 920*           | 1804         | 920         | * Requesting publication of SIR prior to<br>Examiner action                                 |               |
|                             | fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.                           | 1805         | 1,840*         | 1805         | 1,840       | * Requesting publication of SIR after<br>Examiner action                                    |               |
| to the above-identifie      |  | 1251         | 110            | 2251         | 55          | Extension for reply within first month  | <u> </u>      |
|                             | FEE CALCULATION  | 1252         | 410            | 2252         | 205         | Extension for reply within second month   | ,             |
| . BASIC FIL                 | NG FEE   | 1253         | 930            | 2253         | 465         | Extension for reply within third month  |               |
|                             | all Entity   | 1254         | 1,450          | 2254         | 725         | Extension for reply within fourth month   |               |
| ee Fee Fee<br>ode (\$) Code | Fee Fee Description Fee Paid (5)   | 1255         | 1,970          | 2255         | 985         | Extension for reply within fifth month  |               |
| 001 750 2001                | 375 Utility filing fee   | 1404         | 320            | 2401         | 160         | Notice of Appeal  |               |
| 02 330 2002                 | 165 Design filing fee  | 1402         | 320            | 2402         | 160         | Filing a brief in support of an appeal  |               |
| 003 520 2003                | 260 Plant filing fee   | 1403         | 280            | 2403         | 140         | Request for oral hearing  |               |
| 004 750 2004                | 375 Reissue filing fee   | 1451         | 1,510          | 2451         | 1,510       | Petition to institute a public use proceeding   | ,             |
| 005 160 2005                | 80 Provisional filing fee  | 1452         | 110            | 2452         | 55          | Petition to revive - unavoidable  |               |
| •                           | SUBTOTAL (1) (\$)  | 1453         | 1,300          | 2453         | 650         | Petition to revive - unintentional  |               |
|                             |  | 1501         | 1,300          | 2501         | 650         | Utility issue fee (or reissue)  |               |
| . EXTRA CL                  | AIM FEES Extra Fee from  | 1502         | 470            | 2502         | 235         | Design issue fee  |               |
| Claims 84                   | Claims below Fee Paid  84* 0 × 18.00 = \$0.00  | 1503         | 630            | 2503         | 315         | Plant issue fee   |               |
| pendent 16                  | - 84° = 0  | 1460         | 130            | 2460         | 130         | Petitions to the Commissioner   |               |
| ole Dependent               | 30.00  | 1807         | 50             | 1807         | 50          | Prosessing fee under 37 CFR 1.17(q)   |               |
| rge Entity ! Sma            | Il Entity  | 1806<br>8021 | 180            | 1806         | 180         | Submission of Information Disclosure Stmt   |               |
| e Fee Fee<br>de (\$) Code   | Fee Fee Description (5)  |              | [              | 8021         | 40          | Recording each patent assignment per property (times number of properties)                  |               |
| 202 18 2202                 | 9 Claims in excess of 20   | 1809         | 750            | 1809         |             | Filing a submission after final rejection<br>(37 CFR § 1.129(a))                            |               |
| 201 84 2201                 | 42 Independent claims in excess of 3   | 1810         | 750            | 2810         | 375         | For each additional invention to be<br>examined (37 CFR § 1.129(b))                         |               |
| 203 280 2203<br>204 84 2204 | Multiple Dependent claim, if not paid     **Reissue independent claims over original | 1801         | 750            | 2801         | 375         | Request for Continued Examination (RCE)   | <u> </u>      |
| 2204                        | patent   | 1802         | 900            | 1802         | 900         | Request for expedited examination   |               |
| 205 18 2205                 | 9 **Reissue claims in excess of 20 and over<br>original patent                       | Other fee    | (specify)      |              |             | of a design application   |               |
|                             | SUBTOTAL (2) (\$) 0.00   | _            |                |              |             |   | L             |
| **or number pre             | viously paid, if greater, For Reissues, see below                                    | Reduced by   | y Basic Fiting | Fee Paid     |             | SUBTOTAL (3) (\$)   |               |
| UBMITTED B                  |  |              | ==             |              |             | Complete (if app  | licable)      |
|                             | J. Scott Heileson  | Reg          | gistration     | n No.        | 1 .         | 1 -   | 720-8300      |

(Attorney/Agent)

46,765

Based on PTO/SB/17 (01-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 05/02/2003. SEND TO: Commissioner for Palents, P.O. Box 1450, Alexandria, VA 22313-1450

Signature



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Elaine Calimquim

Vame of Person Mailing Correspondence

June 17, 2003

Signature

Date

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**Technology Center 2100** 

Docket No.: 004860.P2471 Confirmation No.: 8214 Application No. 09/680,105

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|---|-----|----|--------|------|
|   | JÜN | 2. | 0 2003 | 3012 |
| X |     |    |        | 44   |

### PEE TRANSMITTAL Complete if Known Application Number 09/680,105 for FY 2003 Filing Date October 4, 2000 RECEIVED Effective 01/01/2003. Patent fees are subject to annual revision. First Named Inventor Glenn Reid Examiner Name Truc T. Chuong Applicant claims small entity status. See 37 CFR 1.27. אוטנ 4 20**01**3 Group/Art Unit 2174 **TOTAL AMOUNT OF PAYMENT** (\$) 0.00 Attorney Docket No. 4860P2471 <del>Technology Contef</del> 2100 METHOD OF PAYMENT (check one) FEE CALCULATION (continued) **ADDITIONAL FEES** Money Order Check Credit card Other None Deposit Account Large Entity Small Entity Deposit Code (\$) Code (\$) Fee Description Fee Paid 02-2666 Number 1051 130 Surcharge - late filing fee or oath 2051 25 1052 Surcharge - late provisional filing fee or 50 2052 Deposit cover sheet. Blakely, Sokoloff, Taylor & Zafman LLP Name 2053 130 130 Non-English specification 2053 The Commissioner is authorized to: ( check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination 920 Requesting publication of SIR prior to Charge fee(s) indicated below Credit any overpayments 1804 1804 920 Examiner action Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. 1,840 Requesting publication of SIR after 1805 1,840 1805 Examiner action Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account Extension for reply within first month 1251 110 2251 **FEE CALCULATION** Extension for reply within second month 1252 410 2252 **BASIC FILING FEE** Extension for reply within third month 1253 930 2253 465 Small Entity 1,450 Extension for reply within fourth month 2254 1254 Fee Description Fee Paid Extension for reply within fifth month 1255 1.970 2255 Code (\$) Code (\$) 320 160 Notice of Appeal 2401 1404 750 375 1001 2001 Utility filing fee 320 2402 160 Filing a brief in support of an appeal 1402 1002 330 2002 165 Design filing fee 280 1403 140 Request for oral hearing 2403 520 1003 2003 260 Plant filing fee 1,510 Petition to institute a public use proceeding 1451 2451 1,510 1004 750 2004 Reissue filing fee 375 110 55 Petition to revive - unavoidable 1005 160 2005 80 Provisional filing fee 1452 2452 1,300 1453 2453 Petition to revive - unintentional SUBTOTAL (1) (\$) 1.300 Utility issue fee (or reissue) 1501 2501 650 EXTRA CLAIM FEES Extra 1502 470 2502 235 Design issue fee Fee from helow Fee Paid 1503 630 2503 Plant issue fee Total Claims 84\* 18.00 \$0.00 1460 130 2460 130 Petitions to the Commissioner Independent 16\* n 84.00 \$0.00 1807 50 1807 50 Prosessing fee under 37 CFR 1.17(a) Multiple Dependent 1806 180 1806 Submission of Information Disclosure Stmt Small Entity Large Entity 8021 40 8021 Recording each patent assignment per property (times number of properties) Fee Description Code (\$) Code (\$) 750 Filing a submission after final rejection 1809 1809 375 (37 CFR § 1.129(a)) 18 9 1202 2202 Claims in excess of 20 1810 750 2810 1201 84 2201 42 Independent claims in excess of 3 375 For each additional invention to be examined (37 CFR § 1.129(b)) 280 1203 2203 140 Multiple Dependent claim, if not paid 1801 750 Request for Continued Examination (RCE) 2801 1204 \*\*Reissue independent claims over original 84 2204 patent Request for expedited examination 1802 900 1802 of a design application 1205 18 \*Reissue claims in excess of 20 and over 2205 original patent Other fee (specify) SUBTOTAL (2) (\$) 0.00 \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) \*\*or number previously paid, if greater, For Reissues, see below SUBMITTED BY Complete (if applicable) Registration No. Name (Print/Type) J. Scott Heileson (408) 720-8300 Telephone 46,765 (Attorney/Agent)

Date

Based on PTO/SB/17 (01-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 05/02/2003. SEND TO: Commissioner for Paterils, P.O. Box 1450, Alexandria, VA 22313-1450

Signature